



UNITED STATES MARINE CORPS
MARINE RAIDER SUPPORT GROUP
MARINE FORCES SPECIAL OPERATIONS COMMAND
PSC BOX 20117
CAMP LEJEUNE NC 28542-0117

5830
PI 3-20
23 Mar 20

From: (b) (7)(C)
To: Commanding Officer

Subj: PRELIMINARY INQUIRY INTO THE FACTS AND CIRCUMSTANCES SURROUNDING THE
ALLEGED WRONGFUL ISSUE OF A TOP SECRET CLEARANCE BADGE TO MASTER
SERGEANT CHRISTOPHER O. STEPHENS 1271464696/0491 USMC

Ref: (a) JAGMAN, Chapter II
(b) MARSOC Policy Letter 11-16
(c) SECNAVINST 12752.1A
(d) DODM 510521_Vol 2 SCI

Encl: (1) Appointment Order PI 3-20 dated 17 March 2020
(2) Extension Approval
(3) Statement from Master Sergeant Christopher Stephens
(4) Statement from (b) (7)(C) (3d MRSB SSO)
(5) Statement from (b) (7)(C) (MRSG Security Manager)
(6) SSO Indoc for Master Sergeant Stephen
(7) (b) (2)
(8) (b) (2)
(9) (b) (2)

Preliminary Statement

1. I inquired into the facts and circumstances regarding the alleged wrongful issue of a top secret clearance badge to MSgt Stephens.
2. (b) (7)(C) provided procedural support for this inquiry in order to ensure that civilian specific requirements are addressed in an appropriate manner.

Findings of Facts

1. On 27 January 2020 (b) (7)(C) read MSgt Stephens into the MARSOC TS program and issued him the corresponding security badge. [Encl 3, 5, 6]
2. During the course of normal business 3d MRSB leadership became aware of material in MSgt Stephens's record that would disqualify him from possessing a security clearance. 3d MRSB's leadership contacted (b) (7)(C) to gather additional information about MSgt Stephens's security eligibility. [Encl 4]
3. 3d MRSB's leadership revoked MSgt Stephens's security badge due to the multiple disqualifying disciplinary infractions documented in MSgt Stephens's record. [Encl 4]
4. According to MSgt Stephens, during his TS read-in, he informed (b) (7)(C) (b) (7)(C) about his past disciplinary issues and that she stated they would not impact his clearance eligibility. Additionally, MSgt Stephens stated that (b) (7)(C) instructed him omit those past disciplinary issues in his current written security declaration. [Encl 2]

Subj: PRELIMINARY INQUIRY INTO THE FACTS AND CIRCUMSTANCES SURROUNDING THE ALLEGED WRONGFUL ISSUE OF A TOP SECRET CLEARANCE BADGE TO MASTER SERGEANT CHRISTOPHER O. STEPHENS 1271464696/0491 USMC

5. (b) (7)(C) stated that MSgt Stephens did not disclose his previous disciplinary issues nor did she instruct him to omit those on his security declaration. [Encl 3]
6. In (b) (7)(C) statement to the investigating officer, she states that she did check MSgt Stephens's security status in JPAS and did notice that MSgt Stephens's clearance was flagged for mandatory reporting. [Encl 3]
7. When (b) (7)(C) spoke to (b) (7)(C) regarding (b) (7)(C) issuing MSgt Stephens's TS security badge, (b) (7)(C) stated that she had not checked JPAS and it was not her (b) (7)(C) responsibility to do so. [Encl 4]
8. In email correspondence between (b) (7)(C) stated that she contacted DOD CAF regarding MSgt Stephens's eligibility. [Encl 7]
9. In response to MSgt Stephens receiving a TS badge and its subsequent revocation, (b) (7)(C) conducted additional security checks and identified several other personnel who had been issued TS security badges but were not properly "owned" by the proper unit in JPAS. After identifying these inconsistencies, (b) (7)(C) contacted the MARSOC special security officer (b) (7)(C) and the component security manager (b) (7)(C) to make them aware of the issues. (b) (7)(C) responded that (b) (7)(C) did not have an understanding of what she was talking about. [Encl 8]

Opinions

1. Due to conflicting statements, I am unable to ascertain whether (b) (7)(C) checked MSgt Stephens's status in JPAS when she initially issued MSgt Stephens's TS badge. However, I believe it is reasonable to believe that (b) (7)(C) was negligent in her actions that led to the wrongful issuing of a TS badge. If (b) (7)(C) did check MSgt Stephens's status in JPAS, she would have noticed his record was flagged and in investigating that status, she would have been made aware of his potential ineligibility for a clearance and briefed the command. If she did not check his status in JPAS, then she did not follow the proper procedures for issuing a TS badge. [Ref D, Page 34, Enclosure 3, Paragraph 1] [Encl 2, 3, 4]
2. While not within the direct scope of this investigation, it became known that there are significant cultural and procedural issues between the security manager and special security officer programs pertaining to information flow and a lack of desire for accountability, manifesting as greater concern for job security prioritized over the security and welfare of the unit. [Encl 7 and 8]

Recommendations

1. Administrative actions are taken against (b) (7)(C) actions that directly led to the wrongful issuing of a TS security badge.
2. Joint security manager, special security officer, and command team training is conducted across the component in order to ensure proper procedures are followed and foster a climate of shared accountability.

Subj: PRELIMINARY INQUIRY INTO THE FACTS AND CIRCUMSTANCES SURROUNDING THE
ALLEGED WRONGFUL ISSUE OF A TOP SECRET CLEARANCE BADGE TO MASTER
SERGEANT CHRISTOPHER O. STEPHENS 1271464696/0491 USMC

3. Counsel and hold accountable the managers of the MARSOC SSO and security manager programs to improve the culture of accountability and interoperability of their programs.

(b) (7)(C)

Copy to:
CO, 3D MRSB



UNITED STATES MARINE CORPS
MARINE RAIDER SUPPORT GROUP
MARINE FORCES SPECIAL OPERATIONS COMMAND
PSC BOX 20117
CAMP LEJEUNE NC 28542-0117

5800
PI 3-20

17 MAR 2020

From: Commanding Officer

To: (b) (7)(C)

Subj: PRELIMINARY INQUIRY INTO THE FACTS AND CIRCUMSTANCES SURROUNDING THE
ALLEGED WRONGFUL ISSUE OF A TOP SECRET CLEARANCE BADGE TO MASTER
SERGEANT CHRISTOPHER O. STEPHENS 1271464696/0491 USMC

Ref: (a) JAG Manual

1. This appoints you, per Chapter II of the reference to inquire into the facts and circumstances surrounding the alleged wrongful issue of a Top Secret clearance badge.
2. Investigate the factors surrounding the item in question and any facts, circumstances, fault, neglect, or responsibility therefore, and recommend appropriate administrative or disciplinary action. Report the personnel contacted, materials reviewed, summary of findings, and your recommendations in letter form by 20 March 2020, unless an extension of time is granted. This is your primary duty until complete.
3. If it should become necessary to exceed the time limit herein prescribed, you may submit a request for extension in writing, setting forth the reasons for delay, to the Convening Authority.
4. Further, if you have not previously done so, read Chapter II of reference (a) in its entirety before beginning your inquiry. You will seek legal advice from the Staff Judge Advocate (SJA), Marine Special Operations Command at (910) 440-0130, initially and prior to completion of your inquiry. An SJA review must be completed prior to submission to the Commanding Officer.
5. For administrative questions regarding the conduct of your investigation you may contact the Marine Raider Support Group Legal Officer, Captain Desse, at 440-0794.

(b) (6)

ENCLOSURE (1)



UNITED STATES MARINE CORPS
MARINE RAIDER SUPPORT GROUP
MARINE FORCES SPECIAL OPERATIONS COMMAND
PSC BOX 20117
CAMP LEJEUNE NC 28542-0117

5830

CO

20 MAR 2020

From: Commanding Officer

To: (b) (7)(C)

Subj: EXTENSION REQUEST PRELIMINARY INQUIRY INTO THE FACTS AND CIRCUMSTANCES
SURROUNDING THE ALLEGED WRONGFUL ISSUE OF A TOP SECRET CLEARANCE
BADGE TO MASTER SERGEANT CHRISTOPHER O. STEPHENS 1271464696/0491 USMC

Ref: (a) JAGINST 5800.7F (JAGMAN)

1. Subject line changed for administrative purposes.
2. On 20 March 2020, you verbally requested an extension beyond 20 March 2020.
3. Your request is approved. Report your findings of fact, opinions, and recommendations in letter format by close of business on 23 March 2020.

(b) (6)

ENCLOSURE (2)

ARTICLE 31 RIGHTS

Name: CHRISTOPHER STEPHENS Rank/Rate: M/Sgt
Activity: 3D MRSB S-404E Unit: 3D MRSB
Telephone Number: 910-545-3278

I have been advised that I may be suspected of the offense(s) of: A FALSE OFFICIAL STATEMENT and that:


- ☒ I have the right to remain silent
☒ Any statements I do make may be used as evidence against me in trial by court-martial.
☒ I have the right to consult with legal counsel prior to any questioning. This legal counsel may be a civilian lawyer retained by me at my own expenses, a military lawyer appointed to act as my counsel without cost to me, or both.
☒ I have the right to have such retained civilian lawyer and/or appointed military lawyer present during this interview.
☒ I have the right to terminate this interview at any time.

WAIVER OF RIGHTS

☒ I further certify and acknowledge that I have read the above statement of my rights and fully understand them, and that:

- ☒ I expressly desire to waive my right to remain silent.
☒ I expressly desire to make a statement.
☒ I expressly do not desire to consult with either a civilian lawyer retained by me or a military lawyer appointed as my counsel without cost to me prior to questioning.
☒ I expressly do not desire to have such a lawyer present with me during this interview.
☒ This acknowledgement and waiver of rights is made freely and voluntarily by me, and without any promises or threats having been made to me or pressure or coercion of any kind having been used against me.

 (b) (6)
 (Member Signature & Date)

DEPARTMENT OF THE NAVY		1. PLACE 3D MRS B
VOLUNTARY STATEMENT		2. DATE 20 MAR
I, <u>Mrs Christopher Stephens</u> , make the following free and voluntary statement to <u>(b) (7)(C)</u> whom I know to be <u>PI FROM MRS G</u> I make this statement of my own free will and without any threats or promises extended to me. I fully understand that this statement is given concerning my knowledge of I checked out of CLC-27 with the security manager (Sgt Collee) on or about 29 January 2020. When I checked out she checked my clearance to make sure it was good. She confirmed that it was valid and that my incident was recorded in the systems. On 27 January, 2020 I checked in to the SolMRST SSO office with <u>(b) (7)(C)</u> . She asked about the adversity and I told her that I was charged with article 92 for inappropriate conduct on my Government computer. She told me that it was in her system I then filled out the SF-86 with her guidance. When I came to questions relevant to the incident I asked her what I should mark. She told me that the incident was already reported in the system so there was no need to report it again. I completed the form, attached a couple of videos and she told me that I was all set and sent me to RA-400 where I got issued my badge.		
		 Christopher Stephens

OPNAV 5527/2 (DEC 1982)

ENCLOSURE (3)

DEPARTMENT OF THE NAVY
VOLUNTARY STATEMENT

1. PLACE

RR405 OFFICE 105

2. DATE

MARCH 19 2020

I, (b) (7)(C), make the following
free and voluntary statement to the green badge issuance to the subject
whom I know to be MSgt Christopher O. Stephens

I make this statement of my own free will and without any threats or promises extended to me. I fully understand that this
statement is given concerning my knowledge of

MSgt Stephens was escorted into the SCIF on January 27 2020 by SSgt Saldana. Subject stated he would be requiring SCI access for duties and responsibilities within his billet here at MARSOC. I did check the subject in JPAS and saw that his record was red in JPAS the incident was closed out awaiting adjudication. The subject never divulged to me any amplifying information when I mentioned the incident in JPAS. On the indoctrination portion he did not disclose any details nor did he implicate he had any incidents on his records on the SF86C. At the top of the SF86C it states that prior to signing and I go over with the subject that they have to add any information that is not on their current SF86. MSgt Stephens current SF86 was conducted on Oct 2015. I was not aware at anytime of the serious or details of his prior incident.

(b) (7)(C)

DEPARTMENT OF THE NAVY
VOLUNTARY STATEMENT

1. PLACE

Security Office- MRSG

2. DATE

March 24, 2020

I, (b) (7)(C), make the following
free and voluntary statement to (b) (7)(C),
whom I know to be the appointed investigating officer.

I make this statement of my own free will and without any threats or promises extended to me. I fully understand that this
statement is given concerning my knowledge of

I was notified by SgtMaj Jeffrey Durham and SgtMaj Shawn Kelly inquiring about a Marine (MSgt C. Stephens) that they wanted me to look up in JPAS due to concerns they had about the Marines security clearance eligibility. When I looked the Marine up in JPAS I noticed the Marine was not properly owned in JPAS by 3rd MRSB and that the only Security Management Office (SMO) owning the individual was MARSOC SSO. I notified the unit Security Manager that the Marine needed to be owned properly under their unit SMO. I also noticed that the Marines name was red in JPAS which indicates an issue under one of the 13 Adjudicative guidelines. The red also indicates the reported issue has not been adjudicated by the DOD CAF. The Marine was read into TS/SCI by the SSO (b) (7)(C) without being joined correctly by the subordinate unit. The reason it's imperative that the unit properly owns the individual is to ensure the unit will be utilizing the Marine in a BIC/billet that will require TS/SCI access versus taking the word of the Marine. Additionally since the Marine was redlined in JPAS the SSO did not stop and inform the command so they were not tracking the issue but rather went ahead and issued the green badge. The Marine arrived to the unit in late January and this JPAS/badge issue was discovered in mid-March. The Marine was walking around the compound with TS/SCI access, redlined in JPAS and the unit was not tracking the Marines issues/status. The Commanding Officer (CO) has the final say if a Marine should or should not have access to classified material and since the CO was not aware of this Marines' past issues or current status in JPAS, the CO was not afforded the right to make that decision.

I informed the unit Security Manager (Maj Artem AgoulNIK) that there was a security issue that he needed to review and he acknowledged receipt and was looking into it. Maj AgoulNIK informed me he spoke to (b) (7)(C) and she stated she did not check JPAS because it was not her job to do so. He mentioned this to me because he had concerns about how she is reading people in at the SSO. This mentality made me question the SSO read in process so I asked (b) (7)(C) for the paperwork she used to read in MSgt Stephens.

(b) (7)(C)

DEPARTMENT OF THE NAVY
VOLUNTARY STATEMENT

1. PLACE

Security Office- MRSG

2. DATE

March 24, 2020

I, (b) (7)(C), make the following
free and voluntary statement to (b) (7)(C),
whom I know to be the appointed investigating officer

I make this statement of my own free will and without any threats or promises extended to me. I fully understand that this
statement is given concerning my knowledge of

I then contacted the 2nd MLG Security Manager (Jaclyn Sanchez) and former unit Security Manager for the Marine, to inquire on the issues effecting MSgt Stephens. She informed me that the Marine was special court martialled due to two previous issues involving pornography (possibly child pornography) on government computers (b) (7)(C). Jaclyn forward to me via email the SJA paperwork surrounding the court martial which I then forwarded to 3rd MRSB Security Manager, CO, and SgtMaj. Since the JPAS issue was not properly closed out by the former command and sent to the DOD CAF for adjudication, I asked 3rd MRSB Security Manager to ensure that all paperwork was sent to the DOD CAF again to ensure compliance with all security policies.

I reached out to (b) (7)(C) to obtain the read in paperwork that she gave to MSgt Stephens during his TS/SCI read in because I wanted to review it. While reviewing the documents (1-12 pages) that were sent to me from (b) (7)(C) I noticed that the read in packet was not complete. The MARSOC SSO read in packet is 17 pages and she only provided 12. Starting on page 5 of the read in packet she provided; MSgt signed the statement ensuring he would report all adverse information as required. On page 11 (SF-86C) the Marine was to list all significant or adverse changes since his previous security clearance investigation. MSgt neglected to report the court martial or use of information technology systems per the requirement to do so which occurred after his previous security clearance investigation.

I received an email from (b) (7)(C) stating that she called the DOD CAF regarding MSgt Stephens issues and was informed the case was pending assignment to an adjudicator. This means the DOD CAF has not yet determined if the individual should maintain eligibility or have it withheld but she issued a TS/SCI badge anyways (Email provided to (b) (7)(C)). A report was ran by Jason Grazioli (MARSOC Physical Security) to determine who created/issued the green badge for MSgt Stephens and the report showed that (b) (7)(C) created/issued the green badge (Report was provided to (b) (7)(C)).

(b) (7)(C)

DEPARTMENT OF THE NAVY
VOLUNTARY STATEMENT

1. PLACE

Security Office- MRSG

2. DATE

March 24, 2020

I, (b) (7)(C), make the following
free and voluntary statement to (b) (7)(C),
whom I know to be the appointed investigating officer.

I make this statement of my own free will and without any threats or promises extended to me. I fully understand that this
statement is given concerning my knowledge of

I received an email from LtCol Stark informing me that he had lost trust and confidence in the SSO at 3rd MRSB and that he wanted to remove (b) (7)(C) from future SSO read-ins involving his unit. I informed LtCol Stark that I was not in charge of manpower for the SSO and to submit his request to Mr. Brett Hayes in the SSO. I met with SgtMaj Kelly, Maj AgoulNIK and LtCol Stark regarding the way ahead with this issue and I did recommend to LtCol Stark to launch an investigation in the circumstances surrounding this security issue with MSgt Stephens. Additionally, I recommended that the unit run a report with the S1 alpha roster and the JPAS PSM Net reports to ensure the unit was properly servicing/owning all civilian, contractors and Marine staff under the command. This would ensure the unit was tracking all staff correctly and ensure everyone was cleared properly for access to classified information.

On March 18, 2020 I discovered another Marine (MSgt Kent Kinyon) was wearing a green badge, read into TS/SCI and was not properly owned by the subordinate unit (3rd MRSB). I spoke to Mr. Bob Bandy (MARSOC Security Manager) in person expressing my continued concerns with the SSO and he told me to email them. Mr. Bandy expressed that he agreed with me and he too was frustrated and upset with the issues surrounding the SSO. I informed Mr. Bandy that the SSO should attend the Security Manager's course to ensure they understood our needs as Security Managers, which he agreed. I reached out to the SSO (Victor Vanderkooi, Annie Kneeland and Deontay Brannon) via email informing them that I had discovered another green badge issued to a Marine that was not properly owned in JPAS by the subordinate unit. (b) (7)(C) informed me I did not understand what I was talking about via email. (Email string was provided to (b) (7)(C) (b) (7)(C) I also informed LtCol Stark via email about the second green badge being issued without the unit owning the Marine.

(b) (7)(C)

UNCLASSIFIED

Apply appropriate classification level and any control markings (if applicable) when filled in

(U) SENSITIVE COMPARTMENTED INFORMATION NONDISCLOSURE AGREEMENT

An Agreement between

CHRISTOPHER OWEN STEPHENS

and the United States.

(Name - Printed or Typed)

1 (U) Intending to be legally bound, I hereby accept the obligations contained in this Agreement in consideration of my being granted access to information or material protected within Special Access Programs, hereinafter referred to in this Agreement as Sensitive Compartmented Information (SCI). I have been advised that SCI involves or derives from intelligence sources or methods and is classified or is in process of a classification determination under the standards of Executive Order 13526 or other Executive order or statute. I understand and accept that by being granted access to SCI, special confidence and trust shall be placed in me by the United States Government.

2 (U) I hereby acknowledge that I have received a security indoctrination concerning the nature and protection of SCI, including the procedures to be followed in ascertaining whether other persons to whom I contemplate disclosing this information or material have been approved for access to it, and I understand these procedures. I understand that I may be required to sign subsequent agreements upon being granted access to different categories of SCI. I further understand that all my obligations under this agreement continue to exist whether or not I am required to sign such subsequent agreements.

3 (U) I have been advised that the unauthorized disclosure, unauthorized retention, or negligent handling of SCI by me could cause irreparable injury to the United States or be used to advantage by a foreign nation. I hereby agree that I will never divulge anything marked as SCI or that I know to be SCI to anyone who is not authorized to receive it without prior written authorization from the United States Government department or agency (hereinafter Department or Agency) that last authorized my access to SCI. I understand that it is my responsibility to consult with appropriate management authorities in the Department or Agency that last authorized my access to SCI, whether or not I am still employed by or associated with that Department or Agency or a contractor thereof, in order to ensure that I know whether information or material within my knowledge or control that I have reason to believe might be, or related to or derived from SCI, is considered by such Department or Agency to be SCI. I further understand that I am also obligated by law and regulation not to disclose any classified information or material in an unauthorized fashion.

4 (U) In consideration of being granted access to SCI and of being assigned or retained in a position of special confidence and trust requiring access to SCI, I hereby agree to submit for security review by the Department or Agency that last authorized my access to such information or material, any writing or other preparation in any form, including a work of fiction, that contains or purports to contain any SCI or description of activities that produce or relate to SCI or that I have reason to believe are derived from SCI, that I contemplate disclosing to any person not authorized to have access to SCI or that I have prepared for public disclosure. I understand and agree that my obligation to submit such preparations for review applies during the course of my access to SCI and thereafter, and I agree to make any required submissions prior to discussing the preparation with, or showing it to, anyone who is not authorized to have access to SCI. I further agree that I will not disclose the contents of such preparation with, or show it to, anyone who is not authorized to have access to SCI until I have received written authorization from the Department or Agency that last authorized my access to SCI that such disclosure is permitted.

5. (U) I understand that the purpose of the review described in paragraph 4 is to give the United States a reasonable opportunity to determine whether the preparation submitted pursuant to paragraph 4 sets forth any SCI. I further understand that the Department or Agency to which I have made a submission will act upon it, coordinating within the Intelligence Community when appropriate, and make a response to me within a reasonable time, not to exceed 30 working days from date of receipt.

6 (U) I have been advised that any breach of this Agreement may result in my termination of my access to SCI and removal from a position of special confidence and trust requiring such access, as well as the termination of my employment or other relationships with any Department or Agency that provides me with access to SCI. In addition, I have been advised that any unauthorized disclosure of SCI by me may constitute violations of United States criminal laws, including provisions of Sections 793, 794, 798, and 952, Title 18, United States Code, and of Section 783(b), Title 50, United States Code. Nothing in this agreement constitutes a waiver by the United States of the right to prosecute me for any statutory violation.

7. (U) I understand that the United States Government may seek any remedy available to it to enforce this Agreement including, but not limited to, application for a court order prohibiting disclosure of information in breach of this Agreement. I have been advised that the action can be brought against me in any of the several appropriate United States District Courts where the United States Government may elect to file the action. Court costs and reasonable attorney's fees incurred by the United States Government may be assessed against me if I lose such action.

8. (U) I understand that all information to which I may obtain access by signing this Agreement is now and will remain the property of the United States Government unless and until otherwise determined by an appropriate official or final ruling of a court of law. Subject to such determination, I do not now, nor will I ever, possess any right, interest, title, or claim whatsoever to such information. I agree that I shall return all materials that may have come into my possession or for which I am responsible because of such access, upon demand by an authorized representative of the United States Government or upon the conclusion of my employment or other relationship with the United States Government entity providing me access to such materials. If I do not return such materials upon request, I understand this may be a violation of Section 793, Title 18, United States Code.

9 (U) Unless and until I am released in writing by an authorized representative of the Department or Agency that last provided me with access to SCI, I understand that all conditions and obligations imposed on me by this Agreement apply during the time I am granted access to SCI, and at all times thereafter.

10 (U) Each provision of this Agreement is severable. If a court should find any provision of this Agreement to be unenforceable, all other provisions of this Agreement shall remain in full force and effect. This Agreement concerns SCI and does not set forth such other

FORM 4414 (Rev. 12-2013)

UNCLASSIFIED

Page 1 of 2

CLASSIFICATION
DECLASSIFICATION

ENCLOSURE (6)

1 of 17

ADDENDUM TO 1847-1
PERSONAL ORAL ATTESTATION UPON BEING GRANTED A
SECURITY CLEARANCE AND/OR ACCESS

Individuals being granted access to Top Secret/SCI information will orally attest to understanding their responsibilities to protect classified national security information.

"I ACCEPT THE RESPONSIBILITIES ASSOCIATED WITH BEING GRANTED ACCESS TO CLASSIFIED NATIONAL SECURITY INFORMATION. I AM AWARE OF MY OBLIGATION TO PROTECT CLASSIFIED NATIONAL SECURITY INFORMATION THROUGH PROPER SAFEGUARDING AND LIMITING ACCESS TO INDIVIDUALS WITH THE PROPER SECURITY CLEARANCE AND/OR ACCESS AND OFFICIAL NEED TO KNOW. I FURTHER UNDERSTAND THAT, IN BEING GRANTED ACCESS TO CLASSIFIED INFORMATION AND/OR SENSITIVE COMPARTMENTED INFORMATION (SCI), A SPECIAL CONFIDENCE AND TRUST HAS BEEN PLACED IN ME BY THE UNITED STATES GOVERNMENT."

CHRISTOPHER OWEN STEPHENS

Member Printed or Typed Name

MSGT/E8



01/27/2020

(b) (7)(C)



CIV

01/27/2020

ENCLOSURE (6)

LOCAL ORIENTATION AND REPORTING OBLIGATIONS FOR ACCESS TO SCI

Individuals with a security clearance must notify their respective Special Security Official of any significant change in personal status. Failure to comply with reporting requirements may adversely affect your continued eligibility for SCI access. Reference: DoD 5105.21 M-1

Significant changes that must be reported include but are not limited to the following:

1. **Change of Assignment:** A change of assignment that may affect the continued need for access to SCI.

2. **Change in Marital Status:**

- Marriage/Divorce
- Engagement to a Foreign National
- Co-habitation with a Foreign National

3. **Change in Financial Responsibilities:**

- Bankruptcy filing or repossessions
- Bounced Check(s)
- Excessive Indebtedness
- Wage Garnishments
- Credit Judgments

4. **Other Significant changes or events:**

- Adverse involvement with law enforcement agencies such as:
- Any arrests or detention, DWI/DUI, assaults, domestic incidents etc.
- UCMJ Violations
- Traffic Violations of \$350 or more
- Alcohol Counseling / Re-habilitation
- Name Change

5. **Foreign Contacts:** Persons with SCI access have a continuing responsibility to report, WITHIN 72 HOURS, contacts with foreign nationals. Casual contacts and associations arising from living in the community or while on travel normally do not need to be reported. The following types of contacts must be reported:

- Contacts that are of a close personal association characterized by ties of kinship, affection, or obligation with foreign nationals.
- Contacts in which illegal or unauthorized access to classified, sensitive, or proprietary information or technology, either inside or outside the scope of the employee's official activities.
- Contacts with known or suspected intelligence officials from any country.
- Continuing association with foreign nationals must be reported.
 - To include Facebook, Twitter, Whatsapp, and any other Social Media platform

ENCLOSURE (6)

Understanding Classification Differences

COLLATERAL CLASSIFICATIONS (NON – SCI)

SENSITIVE COMPARTMENTED INFORMATION (SCI)

The United States government classifies information according to the degree which the unauthorized disclosure would damage national security.

The US has three classifications levels.

From the highest to the lowest level these are:

TOP SECRET (TS), color code: Orange)

SECRET (S), color code: Red)

CONFIDENTIAL (C), color code: Blue)

Documents that do not have a classification can be marked as:

UNCLASSIFIED (U), color code: Green)

The classification levels Confidential, Secret and Top Secret are sometimes called 'collateral', denoting that no additional Intelligence Community control systems or compartmentations, like SCI or SAP, apply.

Sensitive Compartmented Information (SCI) is a system to protect national intelligence information concerning **sources and methods**, and is divided into control systems and compartments, which are further subdivided in sub-control systems and sub-compartments. These systems and compartments are usually identified by a classified codeword, some of which were leaked or have been declassified. In total, there are many SCI compartments and sub-compartments, grouped into a couple of dozen control systems.

Here at MARSOC we utilize the four compartments listed on the indoctrination form. If you require access to additional compartments please notify the SSO.

SCI must be controlled within the designation control system and must never be discussed outside of a SCIF or transmitted on an information system that is not at the SCI level, such as SIPRnet.

Member Name: CHRISTOPHER OWEN STEPHENS

Signature:

(b) (7)(C)

Se I acknowledge that it is my responsibility, under the Continuous Evaluation Program, to report the following to my chain of command and to the MARSOC SSO office immediately:

- Security violations, practices dangerous to security
- Loss, compromise of classified information (actual or potential/possible)
- Financial difficulties, bankruptcy, repossessions, foreclosures, excessive indebtedness or sudden affluence
- Mental, emotional, personality disorders or erratic behavior
- Blackmail attempts
- Change of name
- Change in marital status to include cohabitation
- Marriage to or intent to marry a foreign national
- Close continuing contacts with foreign nationals (includes shared living quarters, and immediate family members, as well as Social Media contacts)
- Unofficial foreign travel
- All arrests or criminal conduct, except traffic violations where the fine was less than \$350.00 (unless drugs or alcohol are involved), NJP and Courts-martial
- All alcohol and/or drug related problems (including arrest for public intoxication, DWI/DUI, etc...)
- Sexual misconduct

CHRISTOPHER OWEN STEPHENS



01/27/2020

(b) (7)(C)



ENCLOSURE (6)

STANDARD FORM 86 CERTIFICATION (SF 86C)

Form approved
OMB No. 3206-0005
NSN 7540-01-500-4881
86-111

INSTRUCTIONS: Type or legibly print your answers in ink (if this form is not legible, it will not be accepted). Complete this form referencing information contained in your most recent SF 86 or information disclosed upon the date of your last background investigation. All questions on this form must be answered. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify your response(s) consistent with your intent. The United States Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to 5 years of imprisonment.

Do not provide information you have already provided on your most recent SF 86. Any "Yes" responses under Block 2 must be explained in Block 3. If additional space is needed, use a blank sheet of paper. **Each blank sheet of paper you use must contain your name and SSN at the top of the page.** Conclude by certifying the accuracy of your answers in Block 4. Certification: If you have any questions, contact the office that gave you the form, or a Government security officer.

Block 1 - Identification

Full name (last, first, middle, maiden)

CHRISTOPHER OWEN STEPHENS

(b) (6)

(b) (6)

Block 2 - Questions from the SF 86

INSTRUCTIONS: The following Questions correlate with your SF 86. If you report **no change** to a Question, place an "X" in the **No** box. If there is a **change**, place an "X" in the **Yes** box. All **Yes** answers must be explained under Block 3. Explanations/Remarks

Yes	No	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 1. Full Name
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 4. Social Security Number
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 5. Other Names Used
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 9. Citizenship
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 10. Citizenship Information
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Question 11. Where You Have Lived
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 12. Where You Went to School
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 13. Employment Activities
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 14. Selective Service Record
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 15. Military History
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 17. Marital Status
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 18. Relatives
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 19. Foreign Contacts
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 20. Foreign Activities
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 21. Mental and Emotional Health
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 22. Police Record
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 23. Use of Illegal Drugs and Drug Activity
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 24. Use of Alcohol
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 25. Investigations and Clearance Record
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 26. Financial Record
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 27. Use of Information Technology Systems
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 28. Involvement in Non-Criminal Court Actions
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Question 29. Association Record

Page 1

15 of 17

ENCLOSURE (0)

